

Report Type:	Candidate
Year (Annual Report only):	
Date of Appointment/Termination:	

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UNITED STATES OFFICE OF  
GOVERNMENT ETHICS

Preventing Conflicts of Interest  
in the Executive Branch

## Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

## Filer's Information

Last Name	First Name	MI	Position	Agency
Buttigieg	Peter	P	Candidate for President of the United States	

## Other Federal Government Positions Held During the Preceding 12 Months:

## Name of Congressional Committee Considering Nomination (Nominees only):

## Filer's Certification - I certify that the statements I have made in this report are true, complete and correct to the best of my knowledge:

Signature:

Date:

14 MAY 2019

## Agency Ethics Official's Opinion – On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below)

Signature:

Date:

5/15/2019

## Other Review Conducted By:

Signature:

Date:

5/15/2019

## U.S. Office of Government Ethics Certification (if required):

Signature:

Date:

## Comments of Reviewing Officials:

**Reviewed for Apparent Compliance  
with the Federal Election Campaign Act**

Instructions for Part 1

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name	Page Number
Peter Buttigieg	1

**Part 1: Filer's Positions Held Outside United States Government**

#	Organization Name	City/State	Organization Type	Position Held	From	To
1.	City of South Bend	South Bend, IN	Municipality	Mayor	January 2012	Present
2.	South Bend Symphony Orchestra	South Bend, IN	Non-profit	Board Member	November 2013	Present
3.	Veterans Community Connections	South Bend, IN	Non-profit	Board Member	May 2018	March 2019
4.	South Bend Educational Foundation	South Bend, IN	Non-profit	Board Member	January 2013	December 2018
5.	Accelerate Indiana's Municipalities (AIM)	Indianapolis, IN	IRC sec. 115 entity comprised of Indiana cities and towns	Board Member	January 2012	Present
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Instructions for Part 2

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name				Page Number	
Peter Buttigieg				2	
<b>Part 2: Filer's Employment Assets &amp; Income and Retirement Accounts</b>					
#	Description	EIF	Value	Income Type	Income Amount
1.	Salary as Mayor of South Bend, IN (January 2018 - May 2019)	N/A		Salary	\$149,725
2.	McKinsey & Company Defined Contribution Retirement Plan	No			
2.1	Special Situations Enhanced-Liquidity USD	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	Passive U.S. Equities	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.3	Passive Non-U.S. Equities	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.	Liveright Publishing Corp., division of W.W. Norton & Company. Print edition book "Shortest Way Home." (value not readily ascertainable)	N/A		royalties advance	\$15,001 - \$50,000 \$45,000
4.	Recorded Book, Inc. Audio edition book "Shortest Way Home." (value not readily ascertainable)	N/A		royalties narration fee	None (or less than \$201) \$3000
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Instructions for Part 3

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Filer's Name			Page Number	
Peter Buttigieg			3	
<b>Part 3: Filer's Employment Agreements and Arrangements</b>				
#	Employer or Party	City/State	Status and Terms	Date
1.	McKinsey & Company	New York, NY	I will continue to participate in the McKinsey & Company defined contribution fund. Neither McKinsey & Company, nor I, have contributed to the plan since I left McKinsey in 2009, and neither McKinsey & Company, nor I will make any further contributions to the plan.	August 2007
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Instructions for Part 4

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name	Page Number
Peter Buttigieg (Not Applicable to Candidates)	5

**Part 4: Filer's Sources of Compensation Exceeding \$5,000 in a Year**

#	Source Name	City/State	Brief Description of Duties
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Instructions for Part 5

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Filer's Name	Page Number
Peter Buttigieg	

**Part 5: Spouse's Employment Assets & Income and Retirement Accounts**

#	Description	EIF	Value	Income Type	Income Amount
1.	Montessori Academy, Mishawaka, IN (resigned January 5, 2019)	N/A		Salary	
2.	South Bend Civic Theatre	N/A		Part-time hourly fee	
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Instructions for Part 6

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Filer's Name	Page Number
Peter Buttigieg	

**Part 6: Other Assets and Income**

#	Description	EIF	Value	Income Type	Income Amount
1	U. S. credit union account (cash) (joint account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	Alphabet Inc Cap Stk Cl A (GOOGL)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
3	Apple Inc (AAPL)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4	USAA Growth Fund (USAAAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	USAA Intermediate Term Bond (USIBX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6.	Invesco Exchange Traded Fund S&P 500 Equal Weight (RSP)	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Ishares Core S&P 500 ETF (IVV)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	USAA Precious Metals and Minerals (USAGX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
9	U.S. brokerage account (cash)	N/A	None (or less than \$1,001)		None (or less than \$201)
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Instructions for Part 7

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Filer's Name	Page Number
Peter Buttigieg (Not Applicable to Candidates)	

**Part 7: Transactions**

#	Description	Type	Date	Amount
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Instructions for Part 8

Note: This is a public form. Do not include account numbers, street addresses, or family member names. See instructions for required information.

Filer's Name					Page Number
Peter Buttigieg					8
<b>Part 8: Liabilities</b>					
#	Creditor Name	Type	Amount	Year Incurred	Rate
1.	Stafford loans serviced by American Education Services (The loans in lines 1.1 - 1.5 aggregate to more than the reporting threshold, but balances of the individual loans are below the reporting threshold.)	Student Loans	\$10,001 - \$15,000		
1.1		Student Loans		2009	6%
1.2		Student Loans		2009	6%
1.3		Student Loans		2009	6.8%
1.4		Student Loans		2009	6.8%
1.5		Student Loans		2009	5.6%
2.	Stafford loans serviced by Great Lakes Higher Education Corp. (The loans in lines 2.3-2.15 aggregate to more than the reporting threshold, but the balances of the individual loans are below the reporting threshold.)	Student Loans	\$100,001 - \$250,000		
2.1		Student Loans	\$15,001 - \$50,000	2016	5.31%
2.2		Student Loans	\$15,001 - \$50,000	2015	5.84%
2.3		Student Loans		2010	5.6%
2.4		Student Loans		2010	5.6%

Instructions for Part 8

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Filer's Name						Page Number
Peter Buttigieg						9
<b>Part 8: Liabilities</b>						
#	Creditor Name	Type	Amount	Year Incurred	Rate	Term
2.5		Student Loans		2010	4.5%	Up to 25 years under an income based repayment plan.
2.6		Student Loans		2010	6.8%	Up to 25 years under an income based repayment plan.
2.7		Student Loans		2010	6.8%	Up to 25 years under an income based repayment plan.
2.8		Student Loans		2010	6.8%	Up to 25 years under an income based repayment plan.
2.9		Student Loans		2010	6.8%	Up to 25 years under an income based repayment plan.
2.10		Student Loans		2011	6.8%	Up to 25 years under an income based repayment plan.
2.11		Student Loans		2011	3.4%	Up to 25 years under an income based repayment plan.
2.12		Student Loans		2011	6.8%	Up to 25 years under an income based repayment plan.
2.13		Student Loans		2014	3.86%	Up to 25 years under an income based repayment plan.

OGE Form 278e (Updated Jan. 2019) (Expires 12/31/21)

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Filer's Name						Page Number
Peter Buttigieg						10
<b>Part 8: Liabilities</b>						
#	Creditor Name	Type	Amount	Year Incurred	Rate	Term

2.1 4		Student Loans		2016	5.84%	Up to 25 years under an income based repayment plan.
2.1 5		Student Loans		2017	5.31%	Up to 25 years under an income based repayment plan.

Instructions for Part 9

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Filer's Name	Page Number
Peter Buttigieg (Not Applicable to Candidates)	

**Part 9: Gifts and Travel Reimbursements**

#	Source Name	City/State	Brief Description	Value
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Attachment 1 to Peter P. Buttigieg OGE 278

On June 15, 2017, Mayor Peter Buttigieg agreed to a \$75,000 advance against royalties under an agreement between Liveright Publishing Corporation, a wholly owned subsidiary of W. W. Norton & Company, Inc., for a memoir then tentatively entitled *Letters from Flyover Country*, eventually published as *Shortest Way Home*. Mayor Buttigieg received the last advance payment for *Shortest Way Home* in 2019. Royalties for the book are: 10% of the publisher's catalog retail price for the first 5,000 hardcover book sales; 12.5% of the publisher's catalog retail price on the next 5,000 hardcover book sales; 15% of the publisher's catalog retail price on all hardcover book sales thereafter; 7.5% of the publisher's catalog retail price on all paperback book sales, and other standard royalties. *Shortest Way Home* was published on February 12, 2019.

Mayor Buttigieg also has an agreement with Recorded Books, Inc., for an audio version of *Shortest Way Home*. During the reporting period, Mayor Buttigieg received a \$3000 narration fee from Recorded Books Inc. No royalties or advance against royalties were received during the reporting period.